



ney Docket No.: 42390P5700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

HONG H. JIANG, ET AL.

09/470 741

Application No.: 09/470,471

Filed: December 20, 1999

For: **METHOD AND APPARATUS FOR  
PERFORMING VIDEO IMAGE DECODING**

Art Group: 2721

Examiner:

RECEIVED  
OCT 04 2001  
Technology Center 2100

RECEIVED  
OCT 04 2001  
Technology Center 2600

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56 and in accordance with 37 C.F.R. § 1.97, enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of the Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 CFR § 1.97(e)(1), it is hereby stated that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

If any additional fees are required, please charge Deposit Account 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Gregory D. Caldwell, Reg. No. 39,926

Date: September 07, 2001

12400 Wilshire Blvd., 7th Floor  
Los Angeles, California 90025  
Telephone: (503) 684-6200

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 to the Patent and Trademark Office on: September 07, 2001.

Susanne M. Sorensen

09/07/01  
Date

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**Complete if Known**

9/47074

Application Number	09/470,471
Filing Date	December 20, 1999
First Named Inventor	Hong H. Jiang
Group Art Unit	2721
Examiner Name	
Attorney Docket Number	42390P5700

Sheet	1	of	2
-------	---	----	---

## U.S. PATENT DOCUMENTS

[illegible]

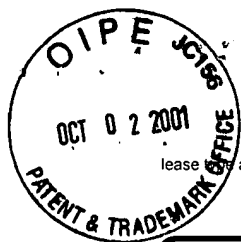
## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	Date Considered
-----------------------	--------------------

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.



lease use a plus sign (+) inside this box →

PTO/SB/06A (10-96)  
Approved for use through 10/31/99. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>Substitute for form 1449A/PTO</b> <b>INFORMATION DISCLOSURE</b> <b>STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>	
				Application Number	09/470,471
				Filing Date	December 20, 1999
				First Named Inventor	Hong H. Jiang
				Group Art Unit	2721
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	42390P5700

OTHER ART (Including Title, Author, Date, Pertinent Pages, etc.)

Exam. Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	1	Sun, Huifang, "Hierarchical Decoder For MPEG Compressed Video Data IEEE Transactions on Consumer Electronics", IEEE Inc. New York, Vol 39, No. 3, August 1993, pages 559-564.
	2	VETRO, A. et al, "On The Motion Compensation Within A Down-Conversion Decoder" Journal of Electronic Imaging, Vol. 7, No. 3, July 1998, pages 616-627.
	3	VETRO, A. et al, "Frequency Domain Down-Conversion of HDTV Using An Optimal Motion Compensation Scheme", International Journal of Imaging Systems and Technology, New York, Vol. 9, No. 4, 1998, pages 274-282.
	4	WEI, B. W. Y. et al, "Video Coding For HDTV Systems", IEEE Comp. Soc. Press, Vol. Conf. 18, 9 November 1994, pages 469-475.

Examiner Signature	Date Considered
--------------------	-----------------

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.